

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Customer Number: 89518
:
Mou-Shiung Lin : Confirmation Number: 5197
:
Application No.: 09/972,639 : Group Art Unit: 2814
:
Patent No.: 6,657,310 : Issued: December 2, 2003
:
For: TOP LAYERS OF METAL FOR HIGH PERFORMANCE IC'S

CHANGE TO LARGE ENTITY STATUS AND
COMMUNICATION UNDER 37 C.F.R. § 1.28(c) & (d)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF ELECTRONIC TRANSMISSION

I hereby certify that this correspondence is being electronically-transmitted to the United States
Patent and Trademark Office on **March 23, 2011.**
/Tobi A. Herbert/

Tobi A. Herbert

Sir:

Applicant previously paid certain fee(s) listed on attached Appendix A as a small entity, but such fee(s) should have been paid as a large entity. Pursuant to 37 C.F.R. § 1.28(c), Applicant submits payment of any deficiency between the amount of each fee paid as a small entity and the amount that is now due as a large entity. Pursuant to the requirements of 37 C.F.R. § 1.28(c)(1) and (2), attached as Appendix A shows the calculation of the deficiency owed and itemization of the deficiency payment for this application or patent.

The Commissioner is authorized to charge Deposit Account No. 502624 in the amount \$530.00, the total deficiency payment owed as calculated on attached Appendix A. The Commissioner is hereby authorized to charge payment of any other fee deficiency associated with this communication or credit any overpayment to Deposit Account No. 502624.

Applicant submits that the status as a small entity was previously established in good faith, and fees as a small entity were paid in good faith, in this application or patent, and it is later discovered that such status as a small entity was established in error, or that through error the United States Patent and Trademark Office was not notified of a loss of entitlement to small entity status as required by 35 C.F.R. § 1.27(g)(2). Pursuant to 37 C.F.R. § 1.28(c), Applicant requests the error be excused in this application or patent.

Pursuant to 37 C.F.R. § 1.28(d), the payment of deficiency operates as notification of loss of status. Applicant requests that any deficiency payment (based on a previous erroneous payment of a small entity fee) submitted under 37 C.F.R. § 1.28(c) be treated under 35 C.F.R. § 1.27(g)(2) as a notification of a loss of entitlement to small entity status and a change to large entity status.

Applicant's undersigned attorney may be contacted at the address and telephone number set forth below.

Respectfully submitted,

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**Please recognize our Customer No. 89518
as our correspondence address.**

APPENDIX A

Date Fee Paid	Type of Fee	Fee Paid	Current Large Entity Fee	Deficiency Owed Amount
April 25, 2007	Maintenance Fee (3.5 years)	\$ 450.00	\$ 980.00	\$ 530.00
Total deficiency payment owed				\$ 530.00